PTO/SB/08a (08-03)

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Substitute for form 1449A/PTO SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known **Application Number** 10/814,539 Filing Date 03-31-2004 First Named Inventor David M. Callaghan Art Unit 2144 **Examiner Name** Unknown

(Use as many sheets as necessary)

Attorney Docket Number 2 03AB111/ALBRP333US Sheat

Examiner	Cite	Document Number	U. S. PATENT Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
nitials*	Cite No.1	Number-Kind Code <sup>2 (# known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
/C.K./		US- 2003/145221 A1	07-31-2003	Atzmueller, et al.	· · · · · · · · · · · · · · · · · · ·
/C.K./		US- 2004/168053 A1	08-26-2004	Kaszkin, et al.	
/C.K./		US- 2005/021705 A1	01-27-2005	Jurisch	
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	FOREIGN PATENT DOCUMENTS							
Examiner	Cite		Name of Patentee or	Pages, Columns, Lines,	١.			
Initials*	No.'	Country Code <sup>3</sup> 'Number <sup>4</sup> 'Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	٣		
/C.K./		EP 0813121 A2	12-17-1997	IBM Corporation				
/C.K./		WO 02095506 A2	11-28-2002	Siemens				
		DE 10200681 A1	07-31-2003	Siemens				
/C.K./		WO 03036400 A	05-01-2003	Siemens				
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Examiner Signature	/Cordelia Kane/	Date Considered	02/04/2008
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SUPPLEMENTAL
INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

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Complete If Known

Application Number

I0/814,539

Filing Date

03-31-2004

First Named Inventor

Art Unit

2144

Examiner Name

Unknown

Sheet 2 of 2 Attorney Docket Number 03AB111/ALBRP333US

NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
/C.K./		PARK, et al. "RBAC on the Web by Smart Certificates" (1999) Proceedings of the 4th ACM Workshop on Role-Based Access Control, Fairfax, VA, October 28-29, 1999, pages 1-9.					
/C.K./		NIKANDER, et al. "Policy and Trust in Open Multi-Operator Networks" (2000) Telecommunication Network Intelligence 6th International Conference on Intelligence in Networks, Kluwer Academic Publishers, Norwell, MA, pages 419-436.					
/C.K./	/C.K./ European search report dated October 20, 2005 and mailed November 21, 2005 for European Patent Application Se 05006916, 5 pages.						
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<sup>1</sup> Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Under the Penerwork Reduction Act of 1895, no occasins are required to respond to a collection of information unless it cantains a valid OMB control number Complete if Known Substitute for form 1449A/PTO Application Number SUPPLEMENTAL 10/814,539 Filing Date INFORMATION DISCLOSURE March 31, 2004 First Named Inventor STATEMENT BY APPLICANT David M. Callaghan Art Unit Unknown Examiner Name (Use as many sheets as necessary) Unknown Attorney Docket Number | 03AB111/ALBRP333US 1 Sheet **U. S. PATENT DOCUMENTS** Publication Date Name of Patentee or Pagas, Columns, Lines, Where Document Number Examiner Relevant Passages or Relevant Figures Appear loibate\* MM-DD-YYYY Applicant of Cited Document Number-Kind Code<sup>2 (7 thous)</sup> US- 6,961,763 Wung et al. /C.K 11-01-2005 US- 6,961,633 Marboch et al. 11-01-2005 US- 6,993,508 Major et al. 01-31-2006 US 6,084.859 Ratcliff et al. 07-14-2000 US- 5,513,095 04-30-1996 Pajonk US- 6,959,290 Stefik et al. 10-25-2005 US- 6,396,928 05-28-2002 Zhane US 5,781,633 Tribble et al. 07-14-1998 US- 20040107345 Randt et al. 06-03-2004 US- 20040249922 Hackman et al. 12-09-2004 /C.K US. us-US-US ັນຮັ-US-ŲŚ-ับร่-FOREIGN PATENT DOCUMENTS Publication Date Name of Patentee or ges. Columns, Examiner Сb Foreign Patent Document Where Relevant Passaces initials MM-DD-YYYY Applicant of Cited Document No. or Relevant Figures Appear Country Code<sup>3</sup> Number <sup>4</sup> 19nd Code<sup>8</sup> (if known)

Date

02/04/2008

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## Substitute for form 1449A/PTO SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known

Application Number 10/814,539

Filing Date 03-31-2004

First Named Inventor David M. Callaghan

Art Unit 2144

Examiner Name

(Use as many sheets as necessary)

Sheet 1 of 2 Attorney Docket Number 03AB111/ALBRP333US

U. S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number-Kind Code <sup>2 (# known)</sup>	<del></del>	<del></del>		
/C.K./		US- 2003/145221 A1	07-31-2003	Atzmueller Clemens, et al.		
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Initials*	No.'	Country Code <sup>3</sup> Number <sup>4</sup> 'Kind Code <sup>5</sup> (# <i>known</i> )	MM-DD-YYYY			Ľ		
/C.K./		EP 0813132 A	12-17-1997	ІВМ Согр.				
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Sheet

Attorney Docket Number

NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No.1						
/C.K./	,	European Search Report dated November 21, 2005, mailed November 22, 2005 for European Patent Application Serial Number 05006916, 5 pages.					
/C.K./		PARK, et al. "RBAC on the Web by Smart Certificates." Proceedings of the 4th ACM Workshop on Role-Based Access control. Fairfax, Virginia. (October 28-29, 1999) pages 1-9.					
/C.K./		NIKANDER, et al. "Policy and Trust in Open Multi-Operator Networks." Sixth International Conference of Intelligence in Networks. Norwell, Massachusettes. (2000) pages 419-436.					
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Signature		Considered	

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